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CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the U.S. Postal Service, with sufficient postage, as first class mail in an envelope addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on this 13 day of OCTOBER, 1999.

- Charles Williams

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Parkes

) Examiner:

Serial No.: 09/392,925

) Art Unit: 3641

Filed: September 9, 1999

For: **METHOD AND APPARATUS FOR  
ROCKET MOTOR DISPOSAL**

Attorney Docket No.: JHP-10-5377

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

**REQUEST FOR CORRECTION OF A FILING RECEIPT**

Enclosed is a copy of the Filing Receipt issued by the U.S. Patent Office for the above-identified patent application. Due to the omission of the designation of "Small Entity", it is requested that a corrected receipt be issued claiming "Small Entity" status.

In support of this request, Applicants are also submitting a copy of the Patent Application Transmittal form, from which it will be seen that the small entity statement was indicated as being enclosed, as well as a copy of the actual statement (the signed copy of which is being submitted to the Patent Office today, together with the filing fee of \$380.00).

It is believed that there are no additional fees for this correction as it is an error on the part of the Patent Office; however, if that is not the case, please charge any fees for this corrected Filing Receipt to Deposit Account No. 500645.

Respectfully submitted,

Dated: 10-13-1999

WUUG

William N. Hogg (Reg. No. 20,156)

DRIGGS, LUCAS, BRUBAKER & HOGG CO., L.P.A.  
8383 Mentor Avenue  
Mentor, Ohio 44060  
(440) 205-3600

WNH:cg  
Enclosure

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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/392,925	09/09/99	3641	\$0.00	JHP-10-5377	3	20	2

WILLIAM N HOGG  
DRIGGS LUCAS BRUBAKER AND HOGG CO LPA  
OLD VILLAGE HALL  
8383 MENTOR AVENUE  
MENTOR OH 44060

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JOHN HUMPHRIES PARKES, EDINBURGH, SCOTLAND.

FOREIGN APPLICATIONS- UNITED KINGDOM GB 9914598.2  
UNITED KINGDOM GB 9820720.2

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/23/99  
TITLE  
METHOD AND APPARATUS FOR ROCKET MOTOR DISPOSAL

PRELIMINARY CLASS: 102

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DATA ENTRY BY: EVANS, ELISHA M. TEAM: 04 DATE: 09/23/99

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(See reverse for new important information)

NEW UTILITY PATENT APPLICATION  
TRANSMITTAL

DOCKET NO.  
JHP-10-5377

TOTAL PAGES IN THIS SUBMISSION  
3

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

**METHOD AND APPARATUS FOR ROCKET MOTOR DISPOSAL**

and invented by:

JOHN HUMPHRIES PARKES

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation -in -part (CIP)  
in prior application No.: \_\_\_\_\_

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 11 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
  - a. ☐ Formal
  - b. ☐ InformalNumber of Sheets 3
4. ☒ Oath or Declaration
  - a. ☐ Newly executed (original or copy) ☒ Unexecuted
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
  - c. ☒ With Power of Attorney ☐ Without Power of Attorney

NEW UTILITY PATENT APPLICATION  
TRANSMITTAL

DOCKET NO.  
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Application Elements (Continued)

5. ☐ Incorporation by Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Certified Copies of British Applications GB 9914598.9 and GB 9820720.2
13. ☒ Return Receipt Postcard
14. ☒ Certificate of Mailing  
☐ First Class ☒ Express Mail (*Specify Label No.*): EL 428565017US
15. ☒ Small Entity Statement (*unexecuted*)  
☐ Statement filed in prior application; Status still proper and desired.
16. ☐ Additional Enclosures (*please identify below*):

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**NEW UTILITY PATENT APPLICATION  
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**FEE CALCULATION AND TRANSMITTAL**

**CLAIMS AS FILED**

1) For	2) Number Filed	3) Number Extra	Rate	Additional Fee
TOTAL CLAIMS (37 CFR 1.16(c))	20 - 20 =	- 0 -	X\$9.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16(c))	2 - 3 =	- 0 -	X \$39.00	\$0.00
First Pres. of Multiple Dep. Claims	= 0			
Basic Fee	= 0			\$380.00
			<b>TOTAL</b>	<b>\$380.00</b>

☐  
☐

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.

- ☐ Charge the amount of \_\_\_\_\_ as filing fee.  
☐ Credit any overpayment or any deficiency.  
☐ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

Date:

Sept 9, 1999

By:

William N. Hogg, Reg. No. 20,156  
 Driggs, Lucas, Brubaker & Hogg Co., L.P.A.  
 8383 Mentor Avenue  
 Mentor, Ohio 44060  
 (440) 205-3600  
 Fax: (440) 205-3601

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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR**Docket Number (Optional)  
JHP-10-5377Applicant, Patentee, or Identifier: JOHN HUMPHRIES PARKES

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: METHOD AND APPARATUS FOR ROCKET MOTOR DISPOSAL

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.  
the application identified above.  
the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

Each person concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such persons, concerns, or organizations exist.  
Persons, concerns, or organizations are listed below:

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

JOHN HUMPHRIES PARKES

NAME OF INVENTOR

\_\_\_\_\_  
Signature of Inventor\_\_\_\_\_  
Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

